

CHAPTER 6

LOGISTIC REASSIGNMENTS

A. GENERAL

1. This chapter provides policy guidance, establishes responsibilities, and prescribes standard procedures designed to:

a. Ensure successful Logistic Reassignments (**LRs**) of consumable items resulting from the Department of Defense (DoD) program for implementation of Integrated Materiel Management (**IMM**) assignments and for elimination of multi management of items.

b. Provide adequate management control of items in a transitional situation.

c. Assure uninterrupted supply support of items during the transition period.

2. The term “Logistic Reassignment” as used herein shall mean the transfer of materiel management responsibilities from one materiel manager to another. An LR will result when:

a. Items are item management coded by an Inventory Control Point (**ICP**) to an **IMM** and the acceptance of materiel management responsibilities is acknowledged by the **IMM**.

b. A change in managers results from a Defense Logistics Services Center (**DLSC**) reclassification action.

c. Items ~~are assigned~~ or transferred to an

IMM and the requirement to **IMC** is waived by **DUSD(L)**.

d. Items are transferred between **IMMs**.

e. An entire Federal Supply Class (**FSC**) is transferred from one manager to another without change in National Stock Number (**NSN**).

3. The LR process is divided into three periods of time based upon the Effective Transfer Date (**ETD**). These periods are identified as pre-ETD, ETD, and **post-ETD**, and known collectively as the transition period. The **pre-ETD** period commences on the date of Gaining Inventory Manager (**GIM**) **assignment/ETD** is disseminated by DLSC to the **GIM/Losing** Inventory Manager (**LIM**) and terminates at ETD. The ETD is the date of the LR. Although the ETD is a specific point in time, for the purpose of this manual, any actions involving data requirements, that reflect conditions as of the ETD will be discussed as if such actions took place on the ETD, even though they may have been taken immediately before or **after** the actual ETD. The post-ETD period commences immediately following the ETD and includes all actions that do not specifically involve data requirements that reflect conditions as of the ETD. The specific events required to take place during the LR are delineated in paragraph D.

4. The LR process is an essential part of item

management in that it ensures the items in the DoD logistics system are periodically reviewed and assigned to the appropriate manager. However, upon presidential declaration of national emergency, DUSD(L) will **notify** the components that requirements and procedures in this chapter and related LR appendices (G-H-I) will be suspended. For transfers in the pipeline, LR **backout** procedures in this chapter, paragraph B.31, will apply.

B. POLICY

1. LRs will be accomplished on the ETD, which will be the first day of the month commencing 120 days **after** the IMM assignment is recorded in the Federal Logistics Information System (FLIS) database.

2. Update of FLIS database will be made in accordance with DoD 41 OO.39-M (reference (g), FLIS Procedures Manual.

3. Due to the differences between Defense Logistics Agency (DLA)/Military ICP and General Services Administration (GSA) mission responsibilities, supply system capabilities, and finding methods, exceptions are required to some of the standard DoD LR policy and procedures when GSA is involved as a **GIM**. Those items that are in GSA FSCS and meet the following criteria will be reassigned to DLA as exceptions:

a. Equipment, components, replacement parts, tools, or supply items that are uniquely designed/ **altered** for Military use only.

b. Items for which a DoD life-of-type buy has been made under a DMSMS (Diminishing Manufacturing Sources and Material Shortages) case(s).

c. Items that require the **GIM** to hold “protected” wholesale inventory for special DoD programs and/or to use special requisitioning controls to prevent issuing to unauthorized users.

d. Items where inventory retention limit is greater than two years of inventory based solely on current demand forecast.

e. Items with Acquisition Advice Codes (AACs) of F, M, N, P, R, W, or Z. Also AAC V and Y, unless the item is related to a GSA managed item by way of formal item standardization coding.

4. Inactive items coded with AACs N, T, V, or Y will not be logistically reassigned unless the item is related to a transferable item by way of a family, an interchangeable and substitutable coding, or an item standardization coding.

5. Uninterrupted supply support will be “maintained throughout the transition period.

6. Full pipeline will be **transferred** from LIM to GIM for stock items. The pipeline requirement will be satisfied for stocked items by **transferring** a sufficient quantity of assets, on hand and/or on order, to **satisfy** current backorders and meet forecasted demands through a period equal to the procurement lead time plus the safety level quantity and Other War

Reserve Materiel Requirements, Protectable (OWRMRP) of the LIM, if applicable. LIMs will not transfer materiel obligations being supplied by Direct Vendor Delivery (DVD).

7. Assets will be transferred on a non-reimbursable basis. Stocks under Industrial Fund Accounting will always be considered as operating material and supplies retail stocks and will not be included in LR. Stocks which stratify beyond the total retention limits, using the latest stratification prior to LR, will not be recapitalized to the GIM.

8. Stock Fund Pricing will be in accordance with chapter 8.

9. Transportation costs will be funded by the GIM for costs incident to materiel movements directed by the GIM, other than costs of first destination transportation for undelivered orders at time of transfer.

10. Decapitalized assets will remain under the physical care and custody of the LIM storage sites at which the assets were recapitalized until they are issued, relocated, and/or disposed of at the direction of the GIM. Relocation of recapitalized assets may be initiated at the discretion of the GIM or may be initiated when it is determined by the LIM and mutually agreed to by the GIM, to be more economical or essential to physically consolidate and/or ship materiel to storage locations under the control of the GIM, rather than attrition of the stocks in place. The LIM will fund relocation costs (warehousing - services and transportation) incident to a LIM requested movement of LR

stocks from a LIM to a GIM designated storage site unless otherwise negotiated. When a Civil Agency is the LIM, recapitalized assets must be relocated to a GIM designated storage site immediately after the GIM receives LIM's ETD recapitalized actions. The Civil Agency will find the relocation cost incident to the movement of the LR stock.

11. The transfer of civilian employees will be in accordance with DoD Directive 1400.20 (reference (p)), in those instances of FSC reassignments. Transfers of personnel will be approved by the Director, DLA, the Commissioner, Federal Supply Services, and Headquarters of the appropriate Services, as applicable.

12. FSC or other major transfers involving DLA/GSA wholesale management will be negotiated by the DLA/GSA Coordinators and will be conducted in accordance with this chapter and DoD 4000.25-2-M (reference (q)).

13. When two or more Services/Agencies are potential GIMs, determination for one Service/Agency to be the manager and support the other Services/Agencies will be made before the LR is accomplished. It will be the responsibility of the LIM to obtain a coordinated position from the using Service/Agency for single Service/Agency assignment of management responsibility.

14. When an IMM assumes management of an item that has been managed by a Military Activity for FMS-only, a Coast Guard Activity, and/or a non-IMM Civilian Activity, the LR

provisions of this chapter do not apply. (See chapter 3, paragraph B. 15).

15. All available LR data will be provided by the LIM to the GIM as detailed in paragraph D of this chapter.

16. All uncompleted contracts covering items to be transferred will be processed through contract closure by the responsible procurement office of the LIM. Indefinite Delivery Type Contracts (IDTCs) and/or Multi-year contracts may be transferred subject to the review and mutual agreement between the responsible procurement offices of the LIM/GIM involved. Contract administration responsibility, not assigned to the Defense Contract Management Command (DCMC), will remain with the retained contracts or, if mutually agreed to by the LIM and GIM, be reassigned with the transferred contracts.

17. When management of an item is being transferred by an IMM and it is not intended that all assets at Navy Specialized Support Depots (SSDs)/Specialized Support Points (SSPs) will be recapitalized to the Navy at these activities, each affected SSD/SSP should be so advised at least five days prior to the ETD of the LR.

18. Asset accountability and the interchange of related information for items recorded in the supply control/distribution systems of the various DoD elements and participating agencies including GSA shall be in accordance with DoD 4000.25-2-M (reference (q)).

19. Due-in information for quantities to be

transferred as of the ETD will be provided to the GIM. Quantities will reflect only those assets which are available for transfer.

20. Physical inventory program requirements for all items through the ETD will be conducted in accordance with DoD 4000.25-2-M (reference (q)).

21. Book balances on the ETD will be accepted by the GIM as submitted by the LIM. Only on hand balances recorded in acceptable supply condition codes (condition codes A, B, C, D, E, and G), and designated as wholesale stock, will be transferred. The LIM will provide status of assets held in condition code D, E, and G, to include a written description and estimated cost to restore each asset to an issuable condition. Assets identified to unacceptable supply condition codes (condition codes F, H, J, K, L, M, P, Q, R, S, and W) will be retained by the LIM. Condition code J-K items will be reclassified prior to the ETD. However, J and K materiel identified at ETD minus 10 days through ETD, will be reclassified and reported to the GIM as a follow-on decapitalization action. Assets committed to the support of special projects, programs, and plans will be retained by the LIM, and are exempt from appendix G reporting requirements. Proposed transfer of on hand balances in unacceptable condition codes will be held in abeyance until they can be restored to acceptable condition. The LIM will continue restoration of all materiel submitted for restoration prior to the ETD.

22. Wholesale assets generated subsequent to the ETD will be transferred to the GIM in

acceptable supply condition, (paragraph B.21), by the LIM on an expedited basis. Conversely, losses of wholesale assets revealed to the GIM through day-to-day transaction accounting subsequent to the ETD will be accounted for as a decrease to capitalized stocks. A time limitation of 12 months from ETD applies in both instances; thereafter, normal inventory adjustment practices will prevail. Significant gains discovered by the LIM after this time limitation will be reported under the Military Standard Requisitioning and Issue Procedures (MILSTRIP) Materiel Returns Program (MRP) to the GIM and disposition requested. Assets received from contract delivery should be recapitalized regardless of the date of receipt. The 12 month time limit does not apply to materiel received on open contracts.

23. Materiel obligations to be passed to the GIM will be validated in accordance with DoD 4000.25-1 -M (reference (r)), Validation of Materiel Obligations, prior to the ETD.

24. Requisitions received by the LIM after the ETD will be passed to the GIM in accordance with DoD 4000.25-1 -M (reference (r)).

25. High priority requisitions received ETD plus 10 days by the GIM may require support by the LIM if decapitalization of assets to the GIM has been delayed. Close coordination between LIM/GIM is required to assure effective supply support.

26. LR data records will be transferred via electronic transmission in accordance with appendices G and H formats using the

appropriate Content Indicator Code.

27. Services and agencies will establish LR monitors in their respective ICPs, and GSA activities.

28. Unit of issue (U/1) and/or quantitative expression conflicts, if any, must be resolved prior to the ETD.

29. The LIM will always retain responsibility for engineering support, configuration management, and current technical data in support of the IMM for transferred items (DLAR 3200.1, AR 715-13, NAVSUPINST 4120.30, AFR 400-40, MCO 4000. 18C (reference (x))).

30. All interchangeable and substitutable (I&S) family members will be reviewed whenever the master or family member is identified for logistic reassignment. (See chapter 2, paragraph B.3.a).

31. DLSC will provide the capability to delete an erroneous LR transaction on a case by case basis. Use of the **backout** procedure is for exception processing. LRs involving FSC/NSN changes are not subject to deletion. The LIM will coordinate with the GIM to resolve erroneously submitted LR transactions. The LIM will notify the chairperson of the DoD IMMC by letter or message with a copy to the Service IMMC member and GIM, when the action is to be deleted. This letter or message will include the NSN, ETD, Activity Codes of the LIM and GIM, the reason for backout request, the IMC the item(s) will be retained

under, and the Document Control Number (DIC LVA) for each NSN to be deleted. The chairperson will authorize the DLSC Project Manager (DLSC-S) to back out an LR from the FLIS database Future File. LR transactions cannot be deleted if the ETD is less than 60 days in the future. As such, requests with 10 or more NSNS to be backed out must be provided to the chairperson at least 75 days prior to the ETD. Requests for less than 10 NSNS must be provided to the chairperson at least 65 days prior to the ETD.

32. The IMM will retain a sole source item if notice of discontinuance is received until the DMSMS situation is fully resolved in accordance with DoD 4140.1R (reference b).

a. If a LR is already in process, the LIM will initiate LR backout procedures in accordance with DoD 4100.39M, (reference g) Chapter 3, Section 6.3.3., up to 65 days prior to the ETD.

b. For items being logistically reassigned, the LIM will identify those items that have had a life of type buy accomplished or that have inventory retained to support requirements resulting from DMSMS cases. The LIM will provide the GIM with all documentation available that was used to justify maintaining the inventory.

c. The GIM will ensure inventory held to support DMSMS requirements is identified to prevent inadvertent disposal,

33. Materiel that stratifies as DoD potential

reutilization materiel (reference DoD 4140.24M, Requirement Priority and Asset Application for Secondary Items) will not be recapitalized by the LIM to the GIM. The LIM will either dispose of materiel quantities that do not qualify as Retention Stock or identify to the GIM the applicable DoD potential reutilization materiel quantities for immediate/follow-on disposal action by the GIM once management transfers.

34. For critical supply support items, the LIM will support GIM requests to expedite award on LIM managed purchase requests (PRs) and to expedite delivery of material on LIM-generated contracts. Critical supply support items are weapons support items for Issue Priority Designator 01 through 04 requisitions, and/or JCS/999/Not Mission Capable supply requisitions. The GIM will fund all premium costs incurred to accomplish the requested expedite.

35. Requirements data will be reevaluated by the LIM and GIM prior to award of purchase requests exceeding \$100,000 to determine whether requirements are still valid. The GIM will request adjustment or cancellation of the order, as appropriate, to the contracting officer of the LIM or other fictional point of contact designated by the LIM.

36. Items identified as hazardous by Federal Standard 313C must comply with DODI 6050.5 by being properly entered into the Hazardous Materiel Information System (HMIS) prior to logistics reassignment. Failure to update the HMIS can result in suspension of the LR, backout of the transaction if already in process,

or immediate return of the management assignment until all management requirements can be satisfied.

C. RESPONSIBILITIES

1. Headquarters, Services, Defense Agencies, and participating Civilian Agencies will be responsible for:

a. Monitoring all matters related to LRs within their respective IMM activities, and between IMMs.

b. Coordinating and resolving any problems resulting from LRs that cannot be resolved at the IMM level.

c. Reporting through the Service or Agency focal point to the appropriate DoD system administrator, Defense Logistics Standard Systems (DLSS) problems, violations, and deviations that arise during LR.

d. Reviewing and recommending revision of policies and establishing internal supplemental procedures as necessary to promote effective LRs compatible with the provisions of this chapter.

2. IMMs will be responsible for:

a. Monitoring **all** matters related to LRs for items migrating to and from their management.

b. Assuring compliance with this chapter for the accomplishment of LRs.

c. Reviewing and recommending revision of policies and establishing internal procedures as necessary to promote effective LRs compatible with the provisions of this chapter.

d. Coordinating resolution of problem areas with other IMMs.

e. Appointing LR monitors and alternates.

f. Reporting LR procedural problems, violations, and deviations related to **MILSTRIP** DoD 4000.25-1 -M, (reference (r)), **MILSTRAP** DoD 4000.25-2-M (reference (q)), or other DLSS authorized by DoD Directive 4000.25 (reference (u)), to their Service or Agency.

3. The LR monitors will be responsible for functioning as single points of contact on all matters relating to LRs and for interfacing directly with their respective counterpart monitors at the DLA ICPs, Military Semite ICPs, and other agencies.

4. The **LIM** will be responsible for:

a. Coordinating LRs with the **GIM** and other affected activities, when required.

b. Transferring maximum LR data to **GIM** in accordance with prescribed timeframes.

c. Notifying **GIM** of items that are or may become in short supply, so that corrective action can be taken.

d. Transferring accountability and materiel management responsibilities on the ETD.

NOTE: Transfer of management responsibility does not include transfer of engineering support or configuration management responsibility. The LIM will always retain responsibility for **configuration** management and maintain the technical data for transferring items.

e. Performing residual functions applicable to the transferred items due to the GIM after ETD to include but are not limited to:

(1) Resolution of nontransferable materiel obligations (**MILSTRIP** Status Code BV – Items being procured for direct shipment to consignee).

(2) Completing all Military Standard Transaction Reporting and Accounting Procedures (**MILSTRAP**) transactions on assets.

(3) Providing engineering and technical support to the IMM.

(4) Developing or validating specifications for IMM items used by the Services/Agencies.

(5) Establishing parametric (acceptable exceptions) specifications for Engineering Change Proposals (**ECPs**).

(6) Determining feasibility of life of type support for obsolete, or aged items versus procurement of new items.

(7) Reviewing alternate item offers.

(8) Developing or approving Engineering Change Notification and Design Change

Notification (**DCN**) applicable to items used by the Service/Agency.

(9) Assisting the IMM on supply difficulties reported by the Services/Agencies.

(10) Supporting the **GIM** for LIM awarded contracts, in resolving defective parts problems relating to Product Quality Deficiency Reports (**PQDRs**). For FMS customers, defective parts problems relating to **PQDRs** are submitted using Reports of Discrepancy (RODS) procedures as defined by paragraph F.8.a.

(11) Participating in defective parts problem resolution.

(12) Determining substitutes or design changes for continued support of items subject to diminishing manufacturing sources.

(13) **Identifying** all items which have a weapon system application and essentiality code needed to include the items in the DLA Weapons System Support Program (**WSSP**).

(14) Coordinating with the **GIM** on materiel disposition actions taken as a result of PQDR resolution.

(15) Expediting award of PRs and expediting delivery of material on LIM generated contracts for items in critical supply support, at **GIM** request.

(16) Processing disposal release orders for materiel identified as DoD potential reutilization materiel or identifying the applicable materiel

quantities to the GIM for follow-on/immediate disposal action by the GIM upon completion of the logistic reassignment.

(17) Coordinating with GIM to reevaluate requirements data prior to award of any purchase request exceeding \$100,000 and take appropriate action.

f. Continue to provide finding in accordance with chapter 8 of this manual.

g. **Identifying** to the GIM any items determined to be consumable, but known to require special management actions/processing instructions (e.g., special licensing requirements) which cannot be adequately communicated using established procedures, prior to submitting the IMC change.

5. The GIM will be responsible for:

- a. Coordinating LR with the LIM.
- b. Assuming materiel management responsibilities on the ETD.
- c. Resolving potential pipeline shortages with LIM.
- d. Advising LIM of changes of shipping destinations for LIM contracts being reconsigned.
- e. Providing finding in accordance with chapter 8 of this manual.
- f. Participating in resolution of supply

discrepancies relating to RODS received on items from contracts awarded by the LIM, as required.

g. Processing PQDRs for physically completed and/or closed LIM awarded contracts, and PQDRs received after ETD on open LIM awarded contracts.

h. Requesting expedited award of LIM held PRs and expedited delivery of material on LIM generated contracts for items in critical supply posture, and providing necessary finding. The expedite request may be submitted from the GIM to the LIM as either a letter or a message, the latter being the preferred format. The request must contain the categories of information shown in the sample format at appendix N.

D. **PRE-ETD ACTIONS**

1. Prior to establishing an ETD.

a. LIM will **identify** items requiring special management techniques and/or processing instructions which cannot be adequately communicated using established procedures. Examples of **special** management conditions for which data may be required are:

(1) Items requiring special calibration requirements associated with test, measurement, and diagnostic equipment.

(2) Items requiring storage licensing requirements for specified items such as NRC-controlled radioactive material.

(3) Items designated for Service authorized customers in specified quantities.

(4) Items requiring special safety assurance measures used by the LIM.

(5) Items requiring special security measures used by the LIM.

(6) Items requiring special packaging requirements for long term storage.

(7) Items containing potentially hazardous material or processing ozone-depleting substances (e.g., asbestos, chloroflourocarbons (CFCs) in refrigerants, HALONS in fire extinguishing agents, lithium batteries, laser radiation, radio frequency (RF) and electromagnetic fields, compressed gas/cylinders, etc...)

(8) Items requiring periodic oxygen cleaning.

(9) Items managed as sensitive items/controlled substances/pilferable items, but not covered in DLAR 4145.1 1/AR 740-7/NAVSUPINST 4440.146C/MCO 4450.11.

(10) Items which are managed as strategic/critical material.

(11) Items for which demilitarization is probably required, but not covered in DoD 4160.21-M-1, Defense Demilitarization Manual.

(12) Items requiring controlled room temperature storage.

(13) Items requiring special care in storage.

(14) Items that have government furnished property such as government furnished tooling, test equipment, plant equipment, materiel, military or agency unique/peculiar property.

b. GIM will determine if special requirements can be accommodated and advise the LIM as follows:

(1) GIM will advise the LIM within 30 days if special requirements can be accommodated and will propose an ETD. Additional data requirements, if any, and submission data will be negotiated.

(2) GIM will advise the LIM within 30 days if it is determined that special management requirements cannot be accommodated within the GIM's current systems/procedures. This response will indicate when the required capability can be developed and a projected implementation date, or provide the specific rationale for declining management of the item, and indicate the applicable IMC. In this event, the condition should be brought to the attention of the Service/Agency Headquarters for possible modification of the IMC process as discussed in chapter 3 of this manual.

2. One hundred and fifty (150) days prior to ETD.

a. LIM – Submit LR (DIC LVA) transactions to initiate the LR. When the LIM is DLA/GSA/TACOM the LR transaction will be initiated by the GIM.

b. LR between the Services will have an ETD coordinated jointly by the LIM and GIM. The LIM will prepare and forward to the GIM, JLC Form 19 to initiate LR when the GIM is other than DLA/GSA.

c. GIM – Submit file maintenance (DIC LMD) transactions to update the data in FLIS database and to establish the ETD.

d. LIM-Provide finding as required in chapter 8.

e. LIM – Initiate a physical inventory for stock on hand for wholesale assets designated as classified or sensitive and ensure all research and balance adjustments/reversals are accomplished prior to the ETD in accordance with DoD 4000.25–2-M (reference (q)).

3. One hundred and twenty (120) days prior to ETD. (If less than 120 days immediately upon notification.)

a. LIM – Provide LR supply management data to the GIM using the data record formats shown in appendix G.

b. LIM – Provide LR contract history data to the GLM, using the data record formats shown in appendix H.

c. LIM -An appendix G-1 through G-5 and appendix H record format will be pushed for each NSN being transferred. The mandatory data elements are identified on the record format and must be provided. All other data elements in the record format will be provided when

available. If not available, fields should be blank.

4. NLT ninety (90) days prior to ETD.

a. LIM – Provide bidders list, term/requirements type contracts, and other hard copy procurement data to the GIM.

b. LIM - Provide industrial readiness information, quality assurance data and appropriate justifications when required by FAR 6.303 and 12.103 to support other than full and open competition type procurements to the GIM.

5. NLT sixty (60) days prior to ETD. LIM – Discontinue intra-service distribution to storage activities of wholesale stocks for those assets anticipated to be transferred to the GIM.

6. NLT forty-five (45) days prior to ETD.

a. LIM – Provide technical data, as specified in DoD Instruction 5010.12 (reference (s)), to include: (1) engineering drawings; (2) full military or commercial description; (3) all sources known to the prime contractor, not just the primary or current source; and (4) the commercial and Government entity code (CAGEC) and applicable part number (P/N) for all sources identified by the prime contractor.

NOTE: In addition to the data resident at the LIM, the LR NSN should be screened/queried against the Military Engineering Data Asset Locator System (MEDALS) prior to transfer to determine if technical data is available. If available, the LIM will acquire the technical data

indicated by MEDALS and provide to the GIM.

b. LIM - **Identify** to the GIM those NSNS where no technical data is available.

c. LIM - Provide listings of unawarded purchase requests to the **GIM** in appendix I format,

d. LIM – On a case by case basis, change requirements type contracts to reflect the new ordering office, as mutually agreed to by the LIM and GIM. The continuation of requirements contracts will be negotiated for transfer to the GIM or possible termination on a case by case basis.

e. LIM – Amend existing contracts/purchase orders and purchase requests for items being transferred to divert shipments of stock buy quantities into the storage depots of the GIM, as appropriate.

f. LIM – Advise the **GIM** of items due-in on undelivered purchases that do not **qualify** for automatic reconsignment. Excessive quantities consigned to a single storage location will not be automatically reconsigned.

g. **LIM** – Change destination of item due-in on undelivered purchases that do not **qualify** for automatic reconsignment, as requested by the GIM.

h. **GIM** – Furnish appropriate reconsignment instructions to the **LIM** for items due-in from undelivered contracts/purchase orders.

i. **LIM/Custodial Depot** – Maintain current due-in records and receipt suspense files in accordance with DoD 4000.25–2-M (reference **(q)**).

j. **LIM** – Advise the **GIM** of the categories of Government Property (**GP**) (which also includes government-owned Industrial Plant Equipment (**IPE**) Special Tooling and Test Equipment) applicable to the production of an item logistically reassigned under the provisions of this manual.

k. LIM – Assure that the U/I and/or Quantitative Expression conflicts are resolved.

7. Thirty (30) days prior to **ETD**.

a. LIM – Provide the second output of LR supply management data (updated) to the **GIM** using the data record formats shown in appendix G.

b. LIM – Provide the second output of LR contract history data (updated) to the **GIM** using the data record format shown in appendix H.

c. **LIM** -An updated appendix G- 1 through G-5 and appendix H record format will be pushed for each NSN being transferred. The mandatory data elements are identified on the updated record format and must be provided. **All** other data elements in the record format will be provided when available. If not available, fields should be blank.

d. LIM – Provide War Materiel Requirements Data to the GIM in accordance

with MILSTRAP.

e. **LIM** – Discontinue automatic initiation of replenishment procurement actions and coordinate with GIM to initiate stock replenishments, as required, to ensure a full pipeline.

E. ETD PERIOD ACTIONS

1. **GIM** – Assume responsibility for wholesale supply support on ETD and accept **funded** requisitions from all authorized requisitioners as of the ETD.

2. **LIM** - Provide the **IM** folder, when available, to the GIM. The contents are a **workfile** containing hard copy data which is not considered part of the information provided by the appendix G and H reporting requirements, to support management of the item.

3. **LIM/GIM** – Transfer **funded** materiel obligations (excludes requisitions cited for DVD against retained contracts) using referral/passing orders prepared in accordance with DoD 4000.25–1-M (reference (r)).

4. **LIM/GIM** – Reconcile PRs.

a. **GIM** – Ascertain the need for continuation of procurement actions exceeding \$100,000, diversion instructions, or cancellations, as appropriate, and advise the LIM.

b. **LIM** – Adjust and/or cancel procurement actions based -on all available information

provided by the **GIM**. Fund any PR deemed appropriate for continuation or procurement actions.

5. **LIM** - Notify the **GIM** of all LRs with **assets_that stratify** beyond the total retention limits (reference DoD 4140.24M, Defense Secondary Item Stratification Manual) for which disposal action has not been taken. This Won-nation will be provided by NSN and related quantity stratifying beyond the **total** retention limits.

6. **LIM/GIM/Custodial Depot** – Take action in accordance with DoD 4000 .25–2-M **MILSTRAP**, to effect the following:

a. Complete all research and final balance adjustments/reversals for required physical inventories.

b. **Transfer/decapitalize** all on-hand wholesale assets in acceptable supply condition codes which are needed to meet the **full** pipeline requirement. The GIM will accept as of the ETD those items and book balances transferred/recapitalized assets.

c. Update depot custodial records to reflect correct ownership of transferred/recapitalized assets.

d. Transfer Special Program Requirements **SPRs**).

e. Establish due-in information (for wholesale quantities) at the **GIM** for LIM retained contracts and **unawarded** PRs and for

materiel returns authorized prior to ETD.

f. Maintain current LIM/GIM due-in wholesale record, and custodial depot receipt suspense files.

g. Maintain current status at GIM of wholesale assets in unacceptable supply condition codes that are required for logistics transfer to meet the full pipeline.

F. POST-ETD ACTIONS

1. LIM – Fund restoration of wholesale assets in unacceptable supply condition codes (F, J, K, L, Q, R, and W) which are required for the transfer of a full pipeline.

2. LIM – Fund credit for all due-ins on materiel returns authorized prior to the ETD and for all reported discrepancies in shipments initiated prior to the ETD.

3. LIM – For unawarded PR, accomplish actions required by the annotated copy of the reconciliation of the purchase request listing received from the GIM.

4. Contracts/Purchase Orders Retained by the LIM.

a. LIM - Before contract award, notify the GIM so that an inventory management review can be conducted for all purchases exceeding \$100,000 to-determine whether requirements for the item on order have changed or been eliminated since the GIM assumed IMM responsibility. .

b. GIM - Advise LIM to adjust or cancel orders, as appropriate.

c. LIM - Followup action on all requests for order adjustment or cancellation to ensure that contract award quantities are appropriately adjusted or eliminated.

d. GIM – Furnish reconsignment instructions to the LIM for undelivered assets.

e. LIM – Change the destination of undelivered assets upon request of the GIM.

5. LIM - Review all items for determination of the weapon system application and essentiality code for inclusion in the DLA WSSP. Submit Weapon Item Data Card(s), Document Identifier Code WS 1, to the GIM, no later than 30 days after ETD.

6. GIM - Review LIM notification of all LRs with assets that stratified beyond the total retention limits for which LIM disposal action had not been taken. If the quantity provided by the LIM still stratifies beyond the GIM's total retention limits, initiate disposal in accordance with DoD 4000.25-2M, MILSTRAP.

7. LIM - On as required basis by the GIM, expedite award of PRs and expedite delivery of material on LIM-generated contracts for items in critical supply posture.

8. LIM/GIM/custodial depot:

a. Take action to complete processing of all supply discrepancies on shipments initiated prior

to the ETD in accordance with DLAR 4140.55/AR 73 5-11-2/SECNAVINST 4355.18/AFR 400-54 (reference (t)), as authorized by DoD Directive 4140.1 (reference (a)) and DLAR 4140.60/AR 12-12/SECNAVINST 4355.17/AFR 67-7/MCO 4140.1E (reference (Y)) as authorized by DoD Directive 4140.1 (reference (a)) as applicable.

b. Take action to complete processing of all PQDRs received in accordance with DLAR 41 55.24/AR 702-7/SECNAVINST 4855.5/AFR 74-6 as authorized by the DoD Directive 4155.1, as follows:

(1) The LIM will process the PQDRs received prior to ETD. The investigation results will be provided to the GIM upon completion of the investigation.

(2) The GIM will process PQDRs for physically completed and/or closed LIM awarded contracts, and PQDRs received after ETD on open LIM awarded contracts. The LIM will provide the full technical data package (if not already provided by the LIM) and abstract any required information from the LIM retained contract files to support the investigation.

(3) For GIM awarded contracts and for materiel that cannot be identified to a specific contract, the GIM will take action to complete processing of all PQDRs, received for GIM awarded contracts and where the contract is unknown. .

(4) For LIM awarded contracts which have not been transferred, any contractual

remedies available to the Government, resulting from the investigation of PQDRs, will be pursued under the cognizance of the LIM, regardless of whether the contract has been previously closed.

c. Funding for reporting supply and quality discrepancies will be in accordance with chapter 8.

9. LIM/GIM/custodial activity – Take action in accordance with DoD 4000.25-2-M (reference (q)), to effect the following:

a. Confirm asset transfer/decapitalizations and update of custodial depot records.

b. Reconcile due-in wholesale records for retained contracts/returns authorized prior to ETD.

c. Maintain current LIM/GIM due-in wholesale records, and custodial depot receipt suspense files.

d. Transfer/recapitalize due-ins against retained contracts/purchase orders and returns authorized prior to the ETD when needed to meet the fill pipeline requirement.

e. Process to an acceptable supply condition code and transfer/recapitalize all residual assets in unacceptable supply condition codes when needed to meet the full pipeline requirement.

10. GIM/custodial depot – The Service/Agency may perform the storage

function but not provide cataloging and inventory management for an item after transfer. A “T” Major Organizational Entity (MOE) Rule should be added to record the storage function in the FLIS database which will allow the nonuser/storage activity to receive the GIM catalog management data.

11. GIM - Initiate request for expedited PR action and expedited delivery of material on LIM-generated contracts, e.g., appendix N, when an item meets critical supply support criteria. Provide funds needed to cover incurred premium costs.